

Summary Internal Controls Assurance (SICA) Report 2023/24 (TIAA, Chris Harris)

Synopsis of report:

To inform Members on the progress made to date by TIAA, the Council's internal auditors, on the 2023/24 Internal Audit Annual Plan.

Recommendation(s):

None. This report is for information.

1. Context of report

- 1.1 Attached at Appendix 'A' is the most recent Summary Internal Controls Assurance (SICA) Report, as at 30 June 2023.

2. Report

- 2.1 The report identifies 2 audits from the 2021/22 programme, finalised since the last meeting of this Committee. These are: ICT Software Asset Management and ICT Contract Management, both of which were evaluated as 'reasonable', with 7 priority 2 recommendations, agreed with the relevant managers.
- 2.2 At the time of writing the report, Management comments were awaited on Housing Allocations and Homelessness, ICT Service Desk and Key Revenue Controls from the 2022/23 programme.
- 2.3 Progress against the 2023/24 programme is reported as there being one audit in progress on Housing Repair and Maintenance and Corporate Governance is at draft stage. An audit on HR Recruitment has been delayed at the request of the Corporate Head of HR.
- 2.4 One client briefing notice has been issued by TIAA since the last report to Committee, which can be circulated separately, on request. This announces the five year local authority procurement results. In addition, TIAA has issued 3 anti-crime alerts about fraud.
- 2.5 A verbal update will be provided at the meeting.

3. Resource implications

- 3.1 The audit service is budgeted for in the Council's annual budgets, with a small contingency to cover unforeseen audits.

4. Legal and Equality implications

- 4.1 None.

(For information)

Background papers

Relevant Internal Audit working files and reports